06/20/2011 14:45

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

FORIVI 3X	For Other Than An Authorized Committee	Office Use Only
NAME OF COMMITTEE (in full)	USE FEC MAILING LABEL OR TYPE OR PRINT ♥ Example:If typing, type over the lines	
Securities Industry and Finar	ncial Markets Association Political Action Committee	
ADDRESS (number and street)	1101 New York Avenue, NW	
_	8th Floor	
Check if different than previously reported. (ACC)	Washington	DC 20005 -
2. FEC IDENTIFICATION NUM	BER ♥ CITY▲	STATE♠ ZIPCODE ♠
C00431312	3. IS THIS X NEW (N) OR	AMENDED (A)
4. TYPE OF REPORT (Choose One)	(b) Monthly Report Feb 20 (M2) May 20 (M5) Due On: May 20 (M2) X Jun 20 (M6)	Year Only)
(a) Quarterly Reports:	Mar 20 (M3) X Jun 20 (M6)	Sep 20 (M9) (Non-Election Year Only)
April 15 Quarterly Report(C	Apr 20 (M4) Jul 20 (M7)	Oct 20 (M10) Jan 31 (YE)
July 15 Quarterly Report(C	(c) 12-Day Primary (12P) PRE-Election	General (12G) Runoff (12R)
October 15 Quarterly Report(C	Report for the: Convention (12C)	Special (12G)
January 31 Quarterly Report(Y	E) Election on	in the State of
July 31 Mid-Year Report(Non-election Year Only) (MY)	Post -Election General (30G)	Runoff (30R) Special (30S)
Termination Repor (TER)	Election on	in the State of
5. Covering Period 0.5	5 0 1 2 0 1 1 through 0 5	31 2011
I certify that I have examined this	Report and to the best of my knowledge and belief it is true, correc	t and complete.
Type or Print Name of Treasurer	Mr. Kenneth E. Bentsen, Jr.	
Signature of Treasurer Electro	nically Filed by Mr. Kenneth E. Bentsen, Jr.	Date 0 6 2 0 2 0 1 1
NOTE : Submission of false, erro	neous, or incomplete information may subject the person signing th	nis Report to the penalties of 2 U.S.C 437g.
Office Use Only		FEC FORM 3X (Rev. 12/2004)

FE6AN026

FEC Form 3X (Rev. 02/2003)

SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS

Page 2

Write or Type Committee Name Securities Industry and Financial Markets Association Political Action Committee

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1 2011		256466.58
	(b) Cash on Hand at Begining of Reporting Period	270272.97	
	(c) Total Receipts (from Line 19)	15627.56	87677.97
	(d) Subtotal (add lines 6(b) and		
	6(c) for Column A and Lines 6(a) and 6(c) for Column B)	285900.53	344144.55
7.	Total Disbursements (from Line 31)	39314.41	97558.43
3.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	246586.12	246586.12
).	Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

> Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004) Page 3

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period:

From:

Y Y W Y 2011

то.

м м 0 5 ^D 31

Y Y Y Y 2 0 1 1

	I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
	ontributions (other than loans) From:		
(a			
	Than Political Committees (i) Itemized (use Schedule A)	9665.89	52020.12
	(i) Iternized (use Schedule A)	0.17.10	
	(ii) Unitemized	617.46	4338.33
	(iii) TOTAL (add	10000.05	50050 45
	Lines 11(a)(i) and (ii)	10283.35	56358.45
,,	\ B !!! B 0 !!!	0.00	0.00
(b	·	0.00	0.00
(c	<i>'</i>	0.00	25500.00
(d	(such as PACs)		
(~	11(a)(iii),(b) and (c)) (Carry		
	Totals to Line 33, page 5)	10283.35	81858.45
	ransfers From Affiliated/Other	0.00	0.00
Pa	arty Committees	0.00	0.00
	Harry Brasinal	0.00	0.00
3. AI	I Loans Received		
4. Lc	oan Repayments Received	0.00	0.00
5. O	ffsets To Operating Expenditures		
	Refunds, Rebates, etc.)	244.21	010.50
	Carry Totals to Line 37, page 5)	344.21	819.52
	efunds of Contributions Made		
	Federal candidates and Other Ditical Committees	5000.00	5000.00
	_	333.33	0000.00
	ther Federal Receipts	0.00	0.00
(L	Dividends, Interest, etc.)	0.00	0.00
. Tr	ransfers from Non-Federal and Levin Funds		
(a) Non-Federal Account	0.00	
	(from Schedule H3)	0.00	0.00
		0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
		0.00	0.00
(c)) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
а Та	otal Receipts (add Lines 11(d),		
	2, 13, 14, 15, 16, 17, and 18(c))	15627.56	87677.97
	., , , , ,		
ı. To	otal Federal Receipts	15005 50	
	ubtract Line 18(c) from Line 19)	15627.56	87677.97

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DETAILED SUMMARY PAGE

of Disbursements

FEC. Form 3X (Rev. 02/2003) Page 4

FEC Form 3X (Rev. 02/2003)		Page 4 COLUMN B	
II. DISBURSEMENTS	SBURSEMENTS COLUMN A Total This Period		
Operating Expenditures: Operating Expenditures:		Calendar Year-to-Date	
(a) Shared Federal/Non-Federal Activity (from Schedule H4)	0.00	0.00	
(i) Federal Share	0.00	0.00	
(ii) Non-Federal Share	0.00	0.00	
(b) Other Federal Operating			
Expenditures	75.50	819.52	
(c) Total Operating Expenditures			
(add 21(a)(i), (a)(ii) and (b))	75.50	819.52	
Transfers to Affiliated/Other Party Committees	0.00	0.00	
Contributions to	0.00	0.00	
Federal Candidates/Committeesand Other Political Committees	39000.00	96500.00	
4. Independent Expenditure	0.00	0.00	
(use Schedule E)5. Coordinated Expenditures Made by Party	0.00	0.00	
Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00	
(333 351133310 1)			
6. Loan Repayments Made	0.00	0.00	
7. Loans Made	0.00	0.00	
Refunds of Contributions To: (a) Individuals/Persons Other			
Than Political Committees	0.00	0.00	
(b) Political Party Committees	0.00	0.00	
(c) Other Political Committees			
(such as PACs)	0.00	0.00	
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	0.00	
(auu Liiies 20(a), (b), aliu (c))	3.00		
9. Other Disbursements	238.91	238.91	
0. Federal Election Activity (2 U.S.C 431(20))			
(a) Shared Federal Election Activity			
(from Schedule H6)	0.00	2.22	
(i) Federal Share	0.00	0.00	
(ii) "Levin" Share	0.00	0.00	
(b) Federal Election Activity Paid Entirely			
With Federal Funds	0.00	0.00	
(c) Total Federal Election Activity (add	0.00	0.00	
Lines 30(a)(i), 30(a)(ii) and 30(b))		0.00	
11. Total Disbursements (add Lines 21(c), 22,			
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	39314.41	97558.43	
, , , , , , , , , , , , , , , , , , , ,			
32. Total Federal Disbursements			
(subtract Line 21(a)(ii) and Line 30(a)(ii)	00014.44	07550 40	
from Line 31)	39314.41	97558.43	

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003) Page 5

	III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33.	Total Contributions (other than loans) from Line 11(d), page 3)	10283.35	81858.45
34.	Total Contribution Refunds (from Line 28(d))	0.00	0.00
35.	Net Contributions (other than loans) (subtract Line 34 from Line 33)	10283.35	81858.45
36.	Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	75.50	819.52
37.	Offsets to Operating Expenditures (from Line 15, page 3)	344.21	819.52
38.	Net Operating Expenditures (subtract Line 37 from Line 36)	-268.71	0.00

FE6AN026

	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 6 / 26 (check only one) X 11a
	Any information copied from such Reports and S or for commercial purposes, other than using the	Statements may e name and add	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark	kets Associa	tion Political Action Commit	tee
∠ A.	Full Name (Last, First, Middle Initial) Adam Templeton			Date of Receipt
	Mailing Address 229 Chysler Street Apr 717			05 05 2011
	City New York	State NY	Zip Code 10002	Transaction ID: 19118040 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	10002	500.00
	Name of Employer Knight Capital Group	Occupatio Managin	n g Director	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	Contribution
- В.	Full Name (Last, First, Middle Initial) Ryan Sylvester Mailing Address 48 Laight Street, 3S	1		Date of Receipt
	Mailing Address 48 Laight Street, 3S	05 05 2011		
	City	State	Zip Code	Transaction ID: 19118041
	New York FEC ID number of contributing federal political committee.	C	10013-2156	Amount of Each Receipt this Period 1000.00
	Name of Employer Knight Capital Group	Occupatio Managin	n g Director	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 1000.00	Contribution
- С.	Full Name (Last, First, Middle Initial) Mr Timothy J. Conway	•		Date of Receipt
	Mailing Address 23 Morrison Street			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 19118046
	Basking Ridge FEC ID number of contributing federal political committee.	C	07920-1776	Amount of Each Receipt this Period 250.00
	Name of Employer Knight Capital Group	Occupatio	n	
	Receipt For: Primary General Other (specify) ▼	Director Aggregate	e Year-to-Date ▼ 250.00	Contribution
	SUBTOTAL of Receipts This Page (optional)			1750.00
	TOTAL This Period (last page this line number		<u> </u>	

SCHEDULE A (FEC Form 3X)

П	CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 7 / 26 (check only one) X 11a
OI	ny information copied from such Reports and r for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Man	ne name and ad	dress of any political committee to	o solicit contributions from such committee.
∠ 4.	Full Name (Last, First, Middle Initial) Mr. Thomas F Price			Date of Receipt
	Mailing Address 120 Broadway 35th Floor			05 06 7 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City New York	State NY	Zip Code	Transaction ID: 19119345
	New York FEC ID number of contributing federal political committee.	C	10271-3599	Amount of Each Receipt this Period 38.50
	Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼	_ ' <u>~</u>	g Director e Year-to-Date ▼ 346.50	Contribution
3.	Full Name (Last, First, Middle Initial) Mr. Joseph M. Vaughan Mailing Address 1101 New York Aven	ue, NW		Date of Receipt
	Suite 800	State	Zip Code	0 5 0 6 2 0 1 1 Transaction ID: 19119346
	Washington	DC	20005-4279	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		28.85
	Name of Employer Securities Industry and Financial Mark Receipt For: Primary Other (specify) ▼	Occupation Vice Pre Aggregate		Contribution
- :.	Full Name (Last, First, Middle Initial) Mr. John Hallmark Mailing Address 1101 New York Ave,	NIVA/		Date of Receipt
	8th Floor			05 06 2011
	City Washington	State DC	Zip Code 20005-4269	Transaction ID: 19119348 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		38.50
	Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼	_ '	g Director e Year-to-Date ▼ 230.00	Contribution
	SUBTOTAL of Receipts This Page (optional)			105.85

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	()	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 8 / 26 (check only one) X
Any information copied from such Reports and or for commercial purposes, other than using	d Statements may the name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Securities Industry and Financial Ma	arkets Associa	tion Political Action Committ	ee
Full Name (Last, First, Middle Initial) Ms. Bradley Gaskins Edgell			Date of Receipt
Mailing Address 1101 New York Ave 8th Floor	-		0 5 0 6 2 0 1 1
City Washington	State DC	Zip Code 20005-4269	Transaction ID: 19119353 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20000 4200	38.50
Name of Employer Securities Industry and Financial Mark	Occupation Managin	n g Director	
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 231.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen, Jr. Mailing Address 1101 New York Ave	NIM		Date of Receipt
8th Floor	05 06 2011		
City	State	Zip Code	Transaction ID: 19119354
Washington	DC	20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		192.35
Name of Employer Securities Industry and	Occupation		
Financial Mark Receipt For:	_ , '	olic Policy and Advocacy Year-to-Date	
Primary General	Aggregate		Contribution
Other (specify) ▼		1731.15	Contribution
Full Name (Last, First, Middle Initial) Marin E. Gibson	.		Date of Receipt
Mailing Address 120 Broadway 35th Floor			05 06 YYYYY 2011
City	State	Zip Code	Transaction ID: 19119359
New York	NY	10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		69.23
Name of Employer Securities Industry and Financial Mark		g Director & Counsel	
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 623.07	Contribution
SUBTOTAL of Receipts This Page (optional	·		300.08

	SCHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 9 / 26 (check only one) X 11a
4	Any information copied from such Reports and or for commercial purposes, other than using the	Statements man	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Ma	rkets Associa	tion Political Action Commit	tee
∠ \ .	Full Name (Last, First, Middle Initial) Mr. Carter McDowell			Date of Receipt
	Mailing Address 1101 New York Ave, 8th Floor	NW		05 06 7 2011
	City	State	Zip Code	Transaction ID: 19119360
	Washington	DC	20005-4269	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		100.00
	Name of Employer Securities Industry and Financial Mark	Occupatio Senior M	n Ianaging Director	
	Receipt For:	- '	e Year-to-Date ▼	
	Primary General Other (specify) ▼		900.00	Contribution
_ 3.	Full Name (Last, First, Middle Initial) Ms. Kyle Brandon			Date of Receipt
	Mailing Address 120 Broadway 35th Floor			0 5 0 6 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 19119362
	New York	NY	10271-3599	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		77.00
	Name of Employer Securities Industry and Financial Mark	Occupatio Managin	n g Director, DOR	
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼	0 0	231.00	Contribution
- ;.	Full Name (Last, First, Middle Initial) Ms. Cheryl Crispen			Date of Receipt
	Mailing Address 1101 New York Ave,	NW		05 06 7 2011
	City	State	Zip Code	Transaction ID: 19119365
	Washington	DC	20005-4213	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		96.15
	Name of Employer Securities Industry and Financial Mark	Occupatio Executive	n e Vice President, Communic	eatlo
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 865.35	Contribution
Γ				273.15

	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 10 / 26 (check only one) X 11a 11b 11c 12 13 14 15 16 17
1	Any information copied from such Reports and Sor for commercial purposes, other than using the	Statements mage name and add	y not be sold or used by any person dress of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Mar	kets Associa	tion Political Action Committe	ee
∠ A .	Full Name (Last, First, Middle Initial) Chris Pento			Date of Receipt
	Mailing Address 23 Lance Road			05 18 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 19124761
	Lebanon	NJ	08833-5007	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Knight Capital Group	Occupatio Managin	n g Director	
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼	0 0	500.00	Contribution
_ В.	Full Name (Last, First, Middle Initial) Mr. Timothy C. Scheve			Date of Receipt
	Mailing Address 410 South 21st Street			05 18 7 2011
	City	State	Zip Code	Transaction ID: 19124765
	<u>Philadelphia</u>	PA	19146-1239	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		2000.00
	Name of Employer Janney Montgomery Scott LLC	Occupatio Presiden	n t and CEO	
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		2000.00	Contribution
_ С.	Full Name (Last, First, Middle Initial) Brian S. Strauss			Date of Receipt
	Mailing Address 330 Wadsworth Aven Apt. 3G			05 18 2011
	City	State	Zip Code	Transaction ID: 19124767
	New York	NY	10040-4138	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Knight Capital Group	Occupatio Managin	n g Director and Chief Credit O	f¢
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		500.00	Contribution
Γ	SUBTOTAL of Receipts This Page (optional) .			3000.00
	TOTAL This Period (last page this line number		<u> </u>	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 11 / 26 (check only one) X
or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements may not be sold or used by any persone name and address of any political committee to rkets Association Political Action Commit	o solicit contributions from such committee.
Securities industry and Financial Ma	rkets Association Folitical Action Commit	lee .
Full Name (Last, First, Middle Initial) Mr. Thomas F Price Mailing Address 120 Broadway		Date of Receipt
Mailing Address 120 Broadway 35th Floor		05 20 2011
City	State Zip Code	Transaction ID: 19178859
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 385.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Joseph M. Vaughan		Date of Receipt
Mailing Address 1101 New York Aven Suite 800	ue, NW	05 20 7 2011
City	State Zip Code	Transaction ID: 19178865
Washington	DC 20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	28.85
Name of Employer Securities Industry and Financial Mark	Occupation Vice President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 240.40	Contribution
Full Name (Last, First, Middle Initial) Mr. John Hallmark		Date of Receipt
Mailing Address 1101 New York Ave, 8th Floor		05 20 7 2011
City	State Zip Code	Transaction ID: 19178874
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer Securities Industry and Financial Mark Receipt For:	Occupation Managing Director	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 268.50	Contribution
	-	

SCHEDULE A (FEC Form 3X)

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate for each cate Detailed Sum	gory of the (Check only one)
Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Ma	ne name and address of any polit	sed by any person for the purpose of soliciting contributions cal committee to solicit contributions from such committee.
Full Name (Last, First, Middle Initial)		T
Ms. N. Ellen McCarthy		Date of Receipt
Mailing Address 1101 New York Ave, 8th Floor	NW	05 20 2011
City	State Zip Code	Transaction ID: 19178875
Washington FEC ID number of contributing	DC 20005-426	
federal political committee.	C	19.23
Name of Employer Securities Industry and	Occupation	
Financial Mark Receipt For:	Managing Director Aggregate Year-to-Date	
Primary General Other (specify) ▼	riggiogate roa to Date	210.57 Contribution
Full Name (Last, First, Middle Initial) Ms. Bradley Gaskins Edgell		Date of Receipt
Mailing Address 1101 New York Ave, 8th Floor	NW	05 / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 19178879
Washington	DC 20005-426	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For:	Aggregate Year-to-Date	
Primary General Other (specify) ▼		269.50 Contribution
Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen, Jr.		Date of Receipt
Mailing Address 1101 New York Ave, 8th Floor	NW	M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 19178880
Washington	DC 20005-426	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	192.35
Name of Employer Securities Industry and Financial Mark	Occupation EVP, Public Policy and	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	1923.50 Contribution
		•

	SCHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 13 / 26 (check only one) X
4	Any information copied from such Reports and S or for commercial purposes, other than using the	Statements ma e name and ad	y not be sold or used by any persod dress of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark	kets Associa	tion Political Action Committ	ree
۸.	Full Name (Last, First, Middle Initial) Ms Kim Chamberlain			Date of Receipt
	Mailing Address 120 Broadway 35th Floor			05 20 7 2011
	City	State NY	Zip Code	Transaction ID: 19178884
	New York FEC ID number of contributing federal political committee.	C	10271-3599	Amount of Each Receipt this Period 38.50
	Name of Employer Securities Industry and Financial Mark Receipt For:		n g Director & Counsel, State (e Year-to-Date ▼	
	Primary General Other (specify) ▼		231.00	Contribution
3.	Full Name (Last, First, Middle Initial) Marin E. Gibson	<u>'</u>		Date of Receipt
	Mailing Address 120 Broadway 35th Floor			05 20 7 2011
	City	State	Zip Code	Transaction ID: 19178885
	New York FEC ID number of contributing federal political committee.	C	10271-3599	Amount of Each Receipt this Period 69.23
	Name of Employer Securities Industry and Financial Mark	Occupatio Managin	n g Director & Counsel	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 692.30	Contribution
_	Full Name (Last, First, Middle Initial) Mr. Carter McDowell			Date of Receipt
-	Mailing Address 1101 New York Ave, N 8th Floor	٧W		05 20 Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 19178886
	Washington FEC ID number of contributing federal political committee.	C	20005-4269	Amount of Each Receipt this Period 100.00
	Name of Employer Securities Industry and Financial Mark	Occupation Senior M	n lanaging Director	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 1000.00	Contribution
	SUBTOTAL of Receipts This Page (optional) .	1		207.73

	SCHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 14 / 26 (check only one) X
C	Any information copied from such Reports and or for commercial purposes, other than using the	Statements mane name and add	y not be sold or used by any pers dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
/	NAME OF COMMITTEE (In Full) Securities Industry and Financial Mar	rkets Associa	tion Political Action Commit	tee
۷.	Full Name (Last, First, Middle Initial) Ms. Kyle Brandon			Date of Receipt
	Mailing Address 120 Broadway 35th Floor			05 20 7 2011
	City New York	State NY	Zip Code 10271-3599	Transaction ID: 19178888 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	10271 0000	77.00
	Name of Employer Securities Industry and Financial Mark Receipt For:	- '	g Director, DOR	
	Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 308.00	Contribution
 3.	Full Name (Last, First, Middle Initial) Ms. Cheryl Crispen			Date of Receipt
	Mailing Address 1101 New York Ave, I	NW		$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
	City	State	Zip Code	Transaction ID: 19178892
	Washington	DC	20005-4213	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		96.15
	Name of Employer Securities Industry and Financial Mark	Occupation Executive	ⁿ e Vice President, Communic	catio
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		961.50	Contribution
_).	Full Name (Last, First, Middle Initial) Darren Lowe			Date of Receipt
	Mailing Address 10 Barclay Street			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 19178896
	New York	NY	10007-2708	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		1000.00
	Name of Employer RBC Capital Markets	Occupatio Managin	ⁿ g Director	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 1000.00	Contribution
Г				1173.15

	SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 15 / 26 (check only one) X 11a 11b 11c 12 13 14 15 16 17
	Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark	name and ad	dress of any political committee to	o solicit contributions from such committee.
	Full Name (Last, First, Middle Initial)		non i ontodi votori commit	
Α.	Mr. Jon Voigtman Mailing Address 745 7th Avenue 9th Floor			Date of Receipt M
	City	State	Zip Code	Transaction ID: 19178898
	New York	NY	10019-6801	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		1000.00
	Name of Employer Lehman Brothers Inc.	Occupatio Senior V	n ice President	
	Receipt For:	Aggregate	e Year-to-Date 🔻	
	Primary General Other (specify) ▼		1000.00	Contribution
В.	Full Name (Last, First, Middle Initial) Mr. Mark Maroney			Date of Receipt
	Mailing Address 51 West 52nd Street			0 5 2 6 2 0 1 1
	City	State	Zip Code	Transaction ID: 19178899
	New York	NY	10019-6119	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		1000.00
	Name of Employer UBS Financial Services In- c.	Occupatio VP	n	
	Receipt For: Primary General	Aggregate	e Year-to-Date	
	Primary General Other (specify) ▼		1000.00	Contribution
C.	Full Name (Last, First, Middle Initial) Thomas Merritt			Date of Receipt
	Mailing Address 295 Woodland Drive			05 31 2011
	City	State	Zip Code	Transaction ID: 19178903
	Brightwaters	NY	11718-1922	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Knight Capital Group	Occupatio Senior M	n Ianaging Director	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	Contribution
	SUBTOTAL of Receipts This Page (optional)			2500.00
	TOTAL This Period (last page this line number	only)	·······	9665.89

	SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 16 / 26 (check only one) 11a 11b 11c 12 13 14 X 15 16 17
	Any information copied from such Reports and Stor for commercial purposes, other than using the	atements ma name and ad	y not be sold or used by any perso dress of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Marke	ets Associa	tion Political Action Committe	ee
Α.	Full Name (Last, First, Middle Initial) Securities Industry and Financial Markets Associa	tion		Date of Receipt
	Mailing Address 120 Broadway Floor 35			05 / 05 / 2011
	City	State	Zip Code	Transaction ID: 19183410
	New York	NY	10271	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		268.71
	Name of Employer	Occupatio	n	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 744.02	Reimbursement of Bank Fees
В.	Full Name (Last, First, Middle Initial) Securities Industry and Financial Markets Associa	tion		Date of Receipt
	Mailing Address 120 Broadway Floor 35			05 19 / Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 19189422
	New York	NY	10271	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		75.50
	Name of Employer	Occupatio	n	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 819.52	Reimbursement of Bank Fees

SUBTOTAL of Receipts This Page (optional)	•	344.21
TOTAL This Period (last page this line number only)	<u> </u>	344.21

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SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 17 / 26 (check only one) 11a 11b 11c 12 13 14 15 X 16 17
Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark	xets Association Political Action Committe	ee
Full Name (Last, First, Middle Initial) Ensign For Senate Mailing Address PO Box 370667		Date of Receipt M M D D Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 19189481
Las Vegas	NV 89137	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C C00286476	5000.00
Name of Employer	Occupation	
Receipt For: 2012 Primary X General Other (specify) ▼	Aggregate Year-to-Date ▼ 5000.00	Refund of General Election Contribution

SUBTOTAL of Receipts This Page (optional)	>	5000.00
TOTAL This Period (last page this line number only)	•	5000.00

SCHEDULE B (FEC Form 3X)

	CHEDOLE B (I LC I OIIII 5X)	Use separate schedule(s)	R LINE NUMBER: PAGE 18 / 26 eck only one)
IT	EMIZED DISBURSEMENTS	for each category of the	21b 22 X 23 24 25 27 28a 28b 28c 29
	for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	ame and address of any political committe	
V	Securities Industry and Financial Market	ts Association Political Action Com	nmittee
	Scott Garrett for Congress Mailing Address P.O. Box 905		Transaction ID: 19102123 Date of Disbursement M 5 M / D D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City Newton	State Zip Code NJ 07860	Amount of Each Disbursement this Period
	Purpose of Disbursement Contribution Candidate Name	011 Catego	
	Rep. Scott Garrett Office Sought: X House Senate President State: NJ District: 05	Type Irsement For: 2012 X Primary General Other (specify)	
	Full Name (Last, First, Middle Initial) Steve Israel For Congress Committee Mailing Address PO Box 777		Transaction ID: 19102124 Date of Disbursement M 5 M / D D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City Deer Park Purpose of Disbursement	State Zip Code NY 11729	Amount of Each Disbursement this Period
	Contribution Candidate Name Rep. Steve J. Israel	011 Catego Type	ory/
	Office Sought: X House Senate President State: NY District: 02	xrsement For: 2012 X Primary General Other (specify) ▼	Contribution
	Full Name (Last, First, Middle Initial) Dold For Congress		Transaction ID: 19102125 Date of Disbursement
	Mailing Address PO Box 8145		05
	City Northfield	State Zip Code IL 60093	Amount of Each Disbursement this Period
	Purpose of Disbursement Contribution	011	
	Candidate Name Rep. Robert Dold	Catego Type	
	Office Sought: X House Disb	x Primary General Other (specify)	Contribution
	State: IL President State: 10	Other (Specify)	

SCHEDULE B (FEC Form 3X)

	CHEDOLE B (I LO I OHII 3X)	Use separate schedule(s)		LINE NUMBER: PAGE 19 / 26 ck only one)
	EMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	2 2	21b 22 X 23 24 25 77 28a 28b 28c 29
	y Information copied from such Reports and Sta for commercial purposes, other than using the na NAME OF COMMITTEE (In Full)			
	Securities Industry and Financial Market	s Association Political Action	n Comn	nittee
	Full Name (Last, First, Middle Initial) Charles Boustany Jr. Md For Congress, Mailing Address PO Box 80126	Inc.		Transaction ID: 19102126 Date of Disbursement M 5 M / D D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City Lafayette	State Zip Code LA 70598		Amount of Each Disbursement this Period
	Purpose of Disbursement Contribution Candidate Name		011 Category	500.00 y/
	Rep. Charles W. Boustany, Jr. Office Sought: X House Senate President State: LA District: 07	rsement For: 2012 X Primary General Other (specify)	Туре	Contribution
	Full Name (Last, First, Middle Initial) Citizens For Altmire Mailing Address P.O. Box 1776			Transaction ID: 19118032 Date of Disbursement M 5 M / D D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City Freedom Purpose of Disbursement Contribution Candidate Name	State Zip Code PA 15042	011 Categor	Amount of Each Disbursement this Period 500.00
	Rep. Jason Altmire	rsement For: 2012 X Primary General Other (specify)	Type	Contribution
	Full Name (Last, First, Middle Initial) Friends Of Jim Clyburn			Transaction ID: 19118033 Date of Disbursement 0 5 0 9 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7
	Mailing Address PO Box 12567 City	State Zip Code		Amount of Each Disbursement this Perio
	Columbia Purpose of Disbursement Contribution	SC 29211	011	1000.00
	Candidate Name Rep. James E. Clyburn		Categor Type	y/
	Office Sought: X House Senate President State: SC District: 06	rsement For: 2012 X Primary General Other (specify)		Contribution
_		al)		2000.00

	CHEDULE B (FEC Form 3X)	Use separate schedule(s	s)	FOR LINE	
IT	EMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	<i>'</i>	(check only 21b 27	22 X 23 24 25
	y Information copied from such Reports and Stater or commercial purposes, other than using the name				
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets	, ,			iot continuations from such committee
<u>'</u>	Full Name (Last, First, Middle Initial) Tim Scott For Congress				Transaction ID: 19118034 Date of Disbursement
	Mailing Address 1405 Ashley River Road				$\begin{bmatrix} \begin{smallmatrix} M & 5 & M \\ 0 & 5 & M \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} D & D \\ 0 & 9 \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y & Y \\ 2 & 0 & 1 & 1 \end{bmatrix} $
	City Charleston	State Zip Code SC 29407	_		Amount of Each Disbursement this Perio
	Purpose of Disbursement Contribution Candidate Name		C	011 Category/	1000.00
		ement For: 2012 Primary General Other (specify)		Туре	Contribution
	Full Name (Last, First, Middle Initial) Ed Royce For Congress				Transaction ID: 19118035 Date of Disbursement
	Mailing Address P.O. Box 2525				$\begin{bmatrix} \begin{smallmatrix} M & M \\ O & S \end{smallmatrix} \end{bmatrix} \ \ \begin{bmatrix} \begin{smallmatrix} D & D \\ O & O \end{smallmatrix} \end{bmatrix} \ \ \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y & Y \\ & 2 & O & I & I \end{bmatrix}^Y$
	City Orange	State Zip Code CA 92859			Amount of Each Disbursement this Perio
	Purpose of Disbursement Contribution Candidate Name Rep. Edward Royce		C	011 category/ Type	1000.00
		ement For: 2012 Primary General Other (specify)	-1	,	Contribution
	Full Name (Last, First, Middle Initial) National Republican Congressional Comm	nittee			Transaction ID: 19118037 Date of Disbursement
	Mailing Address 320 First Street, SE				$\begin{bmatrix} \begin{smallmatrix} M \\ D \end{smallmatrix} & \begin{smallmatrix} M \\ D \end{smallmatrix} & \begin{bmatrix} D \\$
	City Washington	State Zip Code DC 20003			Amount of Each Disbursement this Perio
	Purpose of Disbursement Contribution Condidate Name			011	5000.00
	Candidate Name National Republican Congressional Comm	nittee		ategory/ Type	
	Office Sought: House Senate President State: District:	ement For: Primary General Other (specify)	•		Contribution
—	Side. District.				7000.00

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		3 (FEC Form	•		arate schedule(s)			FOR LIN			R:			PA	GE	21 /	26	
П	EMIZED DIS	SBURSEMEN [®]	ΓS		category of the Summary Page		F	21b 27	Ĥ	22 28a	X	23 28b	F	24 28c		25 29	26 30	
		ed from such Reports roses, other than usin															;	٦
$\overline{}$	NAME OF COM	MITTEE (In Full)																
	Securities Indu	stry and Financial	Markets A	Associatio	n Political Acti	on (Co	ommitte	е									
	Full Name (Last,	First, Middle Initial)								Trans	acti	on ID	:	19119	630	1		
	Lucas For Con	gress								Date of			_					
	Mailing Address	Post Office Box	1726							0 ^M 5	М	1	1 (ž	0 1 1	Y	
	City Oklahoma City	,		State OK	Zip Code 73101					Amou	nt o	Each	ı D	isburse	men	t this I	Period	_
	Purpose of Disbu Contribution	rsement					0	11							25	00.00)	
	Candidate Name Rep. Frank D.	Lucas				Ca	at	egory/ ype										
	Office Sought: State: OK	X House Senate President District: 03		ment For: Primary Other (spe	2012 General			<u> </u>		Contri	but	ion						
	Full Name (Last,	First, Middle Initial)								Tranc	acti	on ID		19124	760			_
	Friends Of Jim	•								Date of				-	703			
	Mailing Address	PO Box 12567								0 ^M 5	М	D 1	1 8	3 / Y	ž	0 1 °	Y	
	City Columbia			State SC	Zip Code 29211					Amou	nt o	Each	ı D	isburse	men	t this I	Period	_
	Purpose of Disbu Contribution	rsement					0	11			_				5	00.00)	
	Candidate Name Rep. James E.	Clyburn						egory/ ype										
	Office Sought:	X House Senate President		ment For: Primary Other (spe	2012 General					Contri	but	ion						
	State: SC	District: 06																_
	•	First, Middle Initial) olican Senatorial C	ommittee							Trans Date of		sburs	en		774	•		
	Mailing Address	425 2nd Street,	NE							0 ^M 5	M	^D 1	1 8	3 / Y	ž	0 1 1	Y	
	City Washington			State DC	Zip Code 20002					Amou	nt o	Each	ı D	isburse	men	t this I	Period	_
	Purpose of Disbu	rsement					-	-							50	00.00		
	Contribution					L	0	11										
		olican Senatorial C						egory/ ype										
	Office Sought:	House Senate President	Disburse	ment For: Primary Other (spe	General					Contri	but	ion						
	State:	District:		3 (opo	J/ ▼													
s	UBTOTAL of Dish	oursements This Page	(optional) .					▶				•			800	00.00		
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TOTAL This Period (last page this line number only)

SCHEDULE B (FEC Form 3X)

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	EMIZED DISBURSEMENTS	for each category of the Detailed Summary Page		À	21b 27	22 28a	Х	23 28b		24 28c		25 29	2 3
	y Information copied from such Reports and Statem for commercial purposes, other than using the name												
\mathbb{N}	NAME OF COMMITTEE (In Full)	Norway College Della College											
V	Securities Industry and Financial Markets	Association Political Act	ion C	on	nmittee								
-	Full Name (Last, First, Middle Initial)					Trans	acti	on ID	: 19	124	775		
ı	Democratic Congressional Campaign Com	mittee				Date	of Di м	sburs		nt / 🔽		V *	V
	Mailing Address 430 South Capitol Street	SE				0 ^M 5		1	1 8 8	Ĺ	2	0 1 1	
	City Washington	State Zip Code DC 20003				Amou	ınt o	f Each	n Disk	ourse	-	this P	-
	Purpose of Disbursement										500	00.00	
	Contribution Candidate Name			01°	ory/								
	Democratic Congressional Campaign Com	mittee		Тур									
	Senate President	ment For: Primary General Other (specify)				Contr	ibut	ion					
_	State: District: Full Name (Last, First, Middle Initial)									104			
	Democratic Senatorial Campaign Committee	ee				Trans Date						V *	V
	Mailing Address 120 Maryland Avenue, N	E				0 5	IVI .		1 8	Ĺ	2	0 1 1	
	,	State Zip Code DC 20002				Amou	int o	f Each	n Dist	ourse		this P	eriod
	Purpose of Disbursement Contribution			01	1						500	00.00	
	Candidate Name Democratic Senatorial Campaign Committ	ee		ateg Typ	ory/ e								
	Office Sought: House Senate President State: District:	ment For: Primary General Other (specify) ▼				Contr	ibut	ion					
	Full Name (Last, First, Middle Initial)					Trans	acti	on ID	: 19	9126	768		
	Jim Himes For Congress					Date	_			nt / V	· V	· V	V
	Mailing Address 857 Post Road, #312					0 ^M 5	М	2	23	/ L	ž	0 1 1	
	City Fairfield	State Zip Code CT 06824				Amou	int o	f Each	n Disk	ourse		this P	
	Purpose of Disbursement Contribution			01 ⁻							100	00.00	
	Candidate Name Rep. James A. Himes			ateg Typ	ory/ e								
	Senate X President	ment For: 2012 Primary General Other (specify)				Contr	ibut	ion					
	State: CT District: 04												
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SCHEDULE B (FEC FOIII 3X)		' Use sepa	Use separate schedule(s)				E NUMBER: PAGE 23 / 26 aly one)						
ITEMIZED	DISBURSEMENT	for each of Detailed S	category of the Summary Page			21b 27	22 28a	_	23 28b	24 280	Я	25 29	
or for commerci	copied from such Reports an al purposes, other than using COMMITTEE (In Full) Industry and Financial M	the name and addres	ss of any political	comn	nitte	e to soli							
,	Last, First, Middle Initial) ons For Congress ess PO Box 823047						Date o		burse	1912 ment		0 1 1	Y
City Dallas		State TX	Zip Code 75382				Amou	nt of	Each	Disburs	-		
Contribution Candidate N Rep. Pete	Sessions			Cat)11 egor ype	ry/	L.	•	•		13	00.00	•
Office Soug State: TX	X House Senate President District: 32	Disbursement For: X Primary Other (spe	2012 General cify) ▼				Contri	buti	on				
	Last, First, Middle Initial) For Congress ess PO Box 51272						Date o		burse	1912 ment 3		0 Ĭ 1	Y
City Midland		State TX	Zip Code 79710				Amou	nt of	Each	Disburs	emen	t this P	eriod
Contribution Candidate N	ael K. Conaway	Disbursement For: X Primary Other (spe	2012 General cify)	Cat)11 egor ype	ry/	Contri	buti	on		10	00.00	•
	ast, First, Middle Initial)						Trans Date o		burse		6771		
Mailing Add	ess 607 14th Street, N Suite 800	Nw					0 ^M 5	M /	^D 2	^D /	^Y 2	0 1 1	Y
City Washingto		State DC	Zip Code 20005				Amou	nt of	Each	Disburs			-
Purpose of I Contribution Candidate N Rep. Steny Office Soug	/ H. Hoyer	Disbursement For: X Primary Other (spe	2012 General cify)	Cat)11 egor ype	y/	Contri	buti	on		∠5	00.00	
State: MD	District: 05												

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)		OR LINE		₹:	PA	PAGE 24/26	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page		check only 21b 27	22 28a	X 23 28b	24 28c	25 29	26
Any Information copied from such Reports and Statemer or for commercial purposes, other than using the name								
NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets A	ssociation Political Acti	on Co	ommittee	,				
Full Name (Last, First, Middle Initial) Friends Of Jeb Hensarling				Date o	f Disburs		3772	
Mailing Address PO Box 820504				0 ^M 5	/ D	23 /	2 0 1	1 Y
	State Zip Code ΓX 75382			Amour	nt of Each	Disburse	ement this	
Purpose of Disbursement Contribution			11				1000.0	0
Candidate Name Rep. Jeb Hensarling	0040		egory/ ype					
	nent For: 2012 Primary General Other (specify)			Contri	bution			
Full Name (Last, First, Middle Initial) Latham For Congress				f Disburs		3773		
Mailing Address P.O. Box 71 PO Box 71				0 ^M 5	/ D	23 /	201	1 Y
City	State Zip Code A 50525			Amour	nt of Each	Disburse	ement this	
Purpose of Disbursement Contribution Candidate Name Rep. Thomas P. Latham		Cate	11 egory/				500.0	0
Office Sought: X House Disburser Senate X	nent For: 2012 Primary General Other (specify)	13	/pe	Contri	bution			
Full Name (Last, First, Middle Initial) Friends Of Bill Posey					f Disburs			
Mailing Address P. O. Box 360877				0 ^M 5		23 /	2 0 1	1
	State Zip Code FL 32936			Amour	nt of Each	Disburse	ement this	
Purpose of Disbursement Contribution	Contribution						1000.0	0
Candidate Name Rep. Bill Posey			egory/ ype					
	nent For: 2012 Primary General Other (specify)			Contri	bution			
SUBTOTAL of Disbursements This Page (optional)			. •		• •		2500.0	0
TOTAL This Period (last page this line number only).							39000.0	0

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	CHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE (check onl	NUMBER:	PAGE 25 / 26				
IT	EMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 27	22 23 28a 28b	24 25 26 28c X 29 30b				
	y Information copied from such Reports and Stat for commercial purposes, other than using the na				o .				
$\overline{}$	NAME OF COMMITTEE (In Full)								
	Securities Industry and Financial Market	s Association Political Action	on Committee	Э					
	Full Name (Last, First, Middle Initial)			Transaction ID:	19124778				
	Securities Industry and Financial Market	Date of Disbursement							
	Mailing Address 120 Broadway Floor 35			0 5 M / D 1	8 2011				
	City New York	State Zip Code NY 10271		Amount of Each I	Disbursement this Period				
	Purpose of Disbursement Refund of Bank Fees		001		238.91				
	Candidate Name		Category/ Type						
	Office Sought: House Disbu Senate President	rsement For: Primary General Other (specify)		Refund of Bank	Fees				
	State: District:								

SUBTOTAL of Disbursements This Page (optional)	<u> </u>	238.91
TOTAL This Period (last page this line number only)	•	238.91

В.

President

District:

ige# 11931003900			
SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE	NUMBER: PAGE 26 / 26 y one)
TEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	X 21b 27	22 23 24 25 26 28a 28b 28c 29 30b
Any Information copied from such Reports and State or for commercial purposes, other than using the nan			
NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets	Association Political Acti	on Committee	;
Full Name (Last, First, Middle Initial) PNC Bank Mailing Address 1400 K Street, NW			Transaction ID: 19189418 Date of Disbursement
City Washington	State Zip Code DC 20005		Amount of Each Disbursement this Period 74.75
Purpose of Disbursement Bank Fees Candidate Name		001 Category/ Type	
Office Sought: House Disburs Senate President State: District:	sement For: Primary General Other (specify) ▼		Bank Fees
Full Name (Last, First, Middle Initial) PNC Bank			Transaction ID: 19189419 Date of Disbursement 05
Mailing Address 1400 K Street, NW			05 05 2011
City Washington	State Zip Code DC 20005		Amount of Each Disbursement this Period
Purpose of Disbursement Bank Fees		001	0.75
Candidate Name		Category/ Type	
Office Sought: House Disburs Senate	sement For: Primary General		Bank Fees

SUBTOTAL of Disbursements This Page (optional)	•	75.50
	_	75 50
TOTAL This Period (last page this line number only)	•	75.50

Other (specify)

State: